



How we help protect your future.



You sleep better at night knowing your assets—and your future—are protected.

One of the most powerful aspects of wealth is that its impact can extend beyond today, creating a resilient and meaningful future for generations to come. But how can you help protect your future?

Confidence in your decisions—and your future—begins with being fully informed, having your questions answered, leveraging the tools at your disposal, and knowing you have an advocate working toward your best interests.

There are different ways to hold—or custody—investors' assets. Your financial advisor has established a relationship with SEI Private Trust Company because we provide custody services that focus on accountability, safety, and security.

It may be reassuring to know that, as a federally chartered limited-purpose savings association, SEI Private Trust Company adheres to extensive federally mandated controls designed to prevent fraudulent activity. These control measures are audited by both independent and internal auditors. As a limited-purpose savings association, SEI Private Trust Company is also subject to examination by the Office of the Comptroller of the Currency (OCC).

How we help protect your assets.

SEI Private Trust Company does not commingle your assets with its own or other investors. Brokers and banks can commingle investor funds. They can lend securities, offer margin lending and use their clients' assets as collateral for their own borrowing.

As a federal savings association, SEI Private Trust Company does not commingle funds or use client funds in its own account for any use because all client assets are held in an account under the client's name.

SEI Private Trust Company does not participate in margin lending, in which client assets are used as collateral for the broker's or bank's lending activities. SEI Private Trust Company cannot pledge, lend or margin client assets that are held in its custody.

SEI Private Trust Company—not an outside firm—maintains custody of all client assets. SEI does not allow custody of client assets by any of the investment firms with which we work. Instead, all assets are held at SEI Private Trust Company. While advisors and our third-party money managers are responsible for security selection, we do not send client funds to these firms. We do not purchase products from these firms. They are simply granted access to trade securities on our platform, which we then monitor.

And even though we do not allow outside firms to custody our clients' assets, we still perform rigorous due diligence on each of those firms.

Insurance

To help protect investors from employee errors and omissions events, SEI maintains a current Errors and Omissions Professional Liability policy.

In addition, SEI provides fidelity bond coverage for protection against employee dishonesty, including forgery or alteration, premises, transit, counterfeit currency, computer systems and other coverages.

Services

As the custodian of your financial assets, SEI Private Trust Company is responsible for the following services:

Safekeeping: Storage of your financial assets

Reporting package: Periodic statement reporting that details your account value and activity, quarterly performance reports, and year-end tax reporting to assist you in completing your income tax forms

Trade settlement: Receipt and delivery of securities and collection and distribution of proceeds from purchases and sales

Income distribution: Collection and payment of dividends and interest payments

Custodian-to-custodian transfers: Accounting for the movement of securities from and to other custodians

Cash processing: Collection of assets and distribution of withdrawals

Corporate action accounting: Accounting for activities such as stock splits and mergers

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Discover SEI

We deliver technology and investment solutions that connect the financial services industry. With capabilities across investment processing, operations, and asset management, we work with corporations, financial institutions and professionals, and ultra-high-net-worth families to solve problems, manage change, and help protect assets—for growth today and in the future.

Custody services provided by SEI Private Trust Company (SPTC), a federally chartered limited purpose savings association. Platform services provided by SEI Global Services, Inc. (SGS). SPTC and SGS are wholly owned subsidiaries of SEI Investments Company (SEI).

Investing involves risk including possible loss of principal.

Neither SEI nor its subsidiaries is affiliated with your advisor.