



BROCHURE SUPPLEMENT

March 2021

This brochure supplement provides information about Charles J. Duchamp that supplements the Apex Capital Management, LLC brochure. You should have received a copy of that brochure. Please contact Robert Morella if you did not receive the Apex Capital Management, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Charles J. Duchamp is available on the SEC's website at www.adviserinfo.sec.gov.

Charles J. Duchamp
Apex Capital Management, LLC
701 Robley Drive, Suite 200
Lafayette, Louisiana 70503
Phone: (337) 984-7010

Educational Background and Business Experience

Date of Birth: 1959

Education:

University of Southwestern Louisiana: BS/BA, Accounting, 1981

Professional Designations:

Certified Public Accountant: 1985¹

Business Affiliations:

Charles J. Duchamp, Ltd.: Owner and Chief Executive Officer, 1/91-present

Apex Capital Management, LLC: Investment Advisor Representative, 11/02-present

Disciplinary Information

Charles J. Duchamp has no disciplinary history that is required to be disclosed by the U.S. Securities and Exchange Commission or state regulatory authorities.

Other Business Activities

Charles J. Duchamp is the sole owner of and a Certified Public Accountant with Charles J. Duchamp, Ltd. (CJD), a professional accounting firm. If he determines that an advisory client is in need of tax or accounting services, the client may be referred to CJD. In addition, if accounting clients of CJD are in need of financial planning or other advisory services, Mr. Duchamp, in his separate capacity as a Certified Public Accountant, may refer those clients to Apex Capital Management, LLC for advisory services. Clients are not obligated in any manner to use the services or an accounting firm recommended by Mr. Duchamp. If a client of Apex Capital Management, LLC also becomes an accounting client of CJD, the client is charged separately for the accounting services.

Mr. Duchamp spends approximately 90% of his workweek on accounting activities and the rest on advisory services.

Additional Compensation

Certain product sponsors may provide Charles J. Duchamp with other economic benefits as a result of his recommending or selling the product sponsors' investments. The economic benefits he receives from product sponsors can include, but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist him in providing various services to clients.

Apex Capital Management, LLC and Charles J. Duchamp endeavor at all times to put the interest of its clients ahead of their own interests or those of the advisor's officers, directors, or representatives ("affiliated persons"). However, these arrangements could affect Mr. Duchamp's judgment when recommending investment products and present a conflict of interest that may affect his judgment.

Supervision

Robert J. Morella is the Managing Member and the Chief Compliance Officer of Apex Capital Management, LLC. He is responsible for developing, overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including himself. Mr. Morella can be contacted at (337) 984-7010.

¹ A Certified Public Accountant (CPA) primarily provides financial audit services, including attesting to the reasonableness of disclosures, freedom from material misstatements and adherence to applicable generally accepted accounting principles. To become a CPA, a candidate must pass the Uniform Certified Public Accountant Examination set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy. Typically, eligibility to sit for the examination requires a candidate to have a Bachelor's Degree that includes a minimum number of qualifying credit hours in accounting and business administration plus an additional one year study. Candidates are also required to have public accounting work experience, with minimum requirements varying from state to state. Applicants for CPA status must also complete a special examination on ethics, including a review of state specific rules for professional practice. All CPAs are required to take continuing education courses. The requirements vary by state but usually require 120 hours of continuing education every three years with at least 20 hours taken every year. Many states require CPAs to take an ethics course during every renewal period, with courses ranging from 2-8 hours.